

DOCKET NO.: MSFT-0222/158379.2

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

**Praerit Garg, Robert P. Reichel,  
Richard B. Ward, Kedarnath A. Dubhashi,  
Jeffrey B. Hamblin, and Anne C. Hopkins**

**Serial No.: Not Yet Assigned**

**Group Art Unit: Not Yet Assigned**

**Filing Date: Herewith**

**Examiner: Not Yet Assigned**

**For: SYSTEM AND METHODS FOR PROVIDING DYNAMIC  
AUTHORIZATION IN A COMPUTER SYSTEM**

**EXPRESS MAIL LABEL NO.: EL695381484US  
MAILING DATE: May 4, 2001**

Assistant Commissioner for Patents  
Washington DC 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with  the first or  second After Final Submission, therefore:
  - Certification in Accordance with §1.97(e) is attached; or
  - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
  - Certification in Accordance with §1.97(e) is attached; or
  - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
  - In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: May 4, 2001

  
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<b>Form PTO-1449 Modified</b>		Docket No. <b>MSFT-0222/158379.2</b>	Serial No. Not Yet Assigned
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant <b>Praerit Garg, Robert P. Reichel, Richard B. Ward, Kedarnath A. Dubhashi, Jeffrey B. Hamblin, and Anne C. Hopkins</b>	
U.S. Department of Commerce Patent and Trademark Office		Filing Date <b>Herewith</b>	Group Not Yet Assigned
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>AA</b>	Kassab, L.L. et al., "Towards formalizing the Java security architecture of JDK 1.2," <i>Computer Security – ESORICS 98. 5th European Symposium on Research in Computer Security</i> , published by Springer-Verlag, Berlin, Germany, Quisquater, J-J. et al. (eds.), Louvain-la-Neuve, Belgium, September 16-18, 1998, 191-207	
	<b>AB</b>	Sandhu, R.S. et al., "Some owner based schemes with dynamic groups in the schematic protection model," <i>Proceedings of the 1986 IEEE Symposium on Security and Privacy</i> , published by IEEE Computer Soc. Press, Oakland, CA, April 7-9, 1986, 61-70	
	<b>AC</b>	Bai, Y. et al., "A language for specifying sequences of authorization transformations and its applications," <i>Information and Communications Security. First International Conference, ICIS '97</i> , published by Springer-Verlag, Berlin, Germany, Beijing, China, November 11-14, 1997, 39-49	
	<b>AD</b>	Netegrity, "SiteMinder Delivers Industry-Leading Performance, Scalability, and Reliability," Netegrity White Paper, December, 1999, 5 pages	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	